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November 20, 1934.

SUBJECT: Examination

TO:

Major William F. Friedman, Sig-Res., Office of the Chief Signal Officer, War Department, Lashington, D. C.

- 1. Under date of October 16, 1934, you stated in a lst Indorsement to this Headquarters that pressure of work had prevented completion of the thesis required in connection with your examination for promotion, and that the completed thesis may be expected about October 31st. In date same has not been received.
- 2. Information is requested as to the status of this matter.

W. W. McCALMON, Colonel, Infantry, Senior Imstructor.

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Friedman, W.F., Major, Sig-Res., O.JigO, Washington, D. C., Rovember 24, 1934 - To: Senior Instructor, Organized Reserves, Washington Units, Rooms 3602-13 Hunitions Building, Washington, D. C.

The required thesis in duplicate is being submitted herewith.

William F. Friedran, Eajor, Signal Asserve.

Attached:
Thesis in duplicate.

Approved for Release by NSA on 07-16-2014 pursuant to E.O. 13526

THE DUTIES OF TPL OFFICER-IN-CHARGE OF THE SIGNAL INTELLIGENCE SERVICE, CHQ.

Thesis submitted by killiam F. Friedman, Mejor, Sig-Ros., in connection with examination for Cartificate of Capacity for promotion to the grade of Liout. Colonal.

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- 1. Introductory note as to sources of date. a. In preparing this thosis the writer has had access to the files of the Chief Signal Officer, including those of current as well as historical information. Among many other documents, the following may be montioned:
 - Tubles of Organization, Signal Intelligence Service
 - Technical Papers of the Signal Intelligence Section, War Plans and Training Livision, Office of the Chief Signal Officer.
 - Army kegulations partaining to codes and ciphers.
 - Litters pertaining to the work of the Cignal Intelligence Service.
- b. In addition, files pertaining to the Morld isr, as contained in the World Lar Records Division of The Adjutant General, have also been studied. Among the latter were the following:
 - (1) Final report of the Officer-in-Charge of the Madio Intelligence Section, General Staff, GNA, AF (C-2 - A6)

 - Final report of the Code Folving Subsection (G-2 $\lambda\delta$) Final report of the Cipher solving Subsection (G-2 $\lambda\delta$) Final report of the Cenienceric subsection (C-2 $\lambda\delta$)

 - ainst report of the Bocurity Subsection (G-2 44)

 - Final report of the Augustrative hebrestian (Com A.) (ć)
 - limal report of the seath intelligence Wilder, First 1: cy, 1.1

- 2. Basic authority for the Signal Intelligence Service. a. Basic authority for the establishment of the Signal Intelligence Service is given in AE 105-25; March 15, 1933, as amended by Changes No. 1, August 21, 1934.

 Par. 2 é thereof now reads as follows:
 - #2. Daties of the Chi-f Cismal Officer. In addition to such other duties as may be prescribed, the Chief Cianal Officer will have immediate charge, under the direction of the Secretary of War, of the following:
 - e. The proparation, publication, revision, storage, accounting, and distribution of all codes and cichers required by the irry, and in time of war the interception of enemy radio and wire traffic, the goniometric location of enemy radio stations, the solution of intercepted enemy code and cipher messages, and laboratory arrangements for the employment and detection of secret inks.
 - 3. Unit signal officers. a. A chief signal Officer will be detailed for every expeditionary force and a Signal Corps officer as unit signal officer will hornally be detailed for each corps area and every tactical unit larger than a brigade containing Signal Corps troops. Then no unit signal officer has been so detailed in orders, the senior Circui Corps Officer present for duty with the command will act as such. The unit signal officer will be a number of the staff of his commanding officer. He will be charged, under the direction of his commanding officer, with the command, in so far as relates to operations, of signal troops not assigned or attached to subordinate units. The unit signal officer is also charged with specific duties as follows:
 - (3) Proparation, publication, storage, accounting, and distribution of codes and diphors.
 - (3) Supervision of the installation, maintenance, and operation of the signal communication system, including the message center, of the unit.
 - (?) Supervision of such activities pertaining to the meteorological, signal intelligence, pigeon, and photographic services as affect the unit."
- b. Based upon the foregoing sucharity, we may not study the following extracts from a directive given the Chief Signal Officer by the Secretary of Yar, in a latter dated April 22, 1930, dealing specifically with the Signal Intelligence Services
 - "5. Upon mobilization the various activities of this service will operate as the following head to reters:

a. Under the bar bepartments

- (1) The preparation of all means of secret communication employed by the Army in pauce and war including secret inka, except that, upon its organization, GHQ will begin the preparation of field codes and clohers required for current replacement for subordinate units.
- (2) The interception of enemy communications by electrical means, including the necessary goniometric work incident thereto.
- (3) The detection and solution of secret or disguised enemy communications including those written in code, cipher, secret ink or those employing other means for disguisement.

b. At General Resdauarters:

- (1) The properation of field codes and ciphers for employment by subordinate units to replace those previously prepared under the Par Department during peace time.
- (2) The interception of energy communications by electrical means.
- (3) The location of enemy radio transmitting stations by comiosetric means.
- (4) The detection and solution of secret or disguised energy communications including those written in code, aigher, secret ink or those employing other means for disguisament.

c. At Read wartons of Field Arties:

- (1) The interestion of energy communications by electrical means.
- (2) The location of enemy radio transmitting stations by gonionetric means.
- (3) The solution of intercepted enemy code or eigher messages by the as istance of eigher keys and solved codes as furnished by the service at General Head-marters.
- 3. Position occupied by the Signal Intelligence Service in the GNQ Signal Service. a. Coming new directly to the manner in which the Signal Intelligence Service fits into the organization of the GNQ Signal Service, we find a graphic picture of the latter organization in T/O 507-W shown in Appendix I.

- b. CHQ Signal Service consists of
 - 1 Headquarters, G4C Signal Service
 - 2 Operation Companies
 - 3 Meteorological Companies
 - I kadio Intelligence Company
 - 1 Construction Buttalion
- c. In T/O 507-4 we are interested only in:
 - (1) Readquarters, CHC Signal Service
 - (2) Radio Intelligence Coapeny
- A. helations with Radio Intelligence Company, GRQ Signal Service. 1.

 The hadio Intelligence Company, GRQ Signal Service, is the technical agency which intercepts energy electrically-transmitted traffic and locates enony transmitting stations by goniometry or radio direction finding. Copies of all intercepted energy messages and the goniometric data are furnished directly to the GRQ Signal Intelligence Service. Copies of the plain-lenguage messages, if any, are impediately forwarded to the G-2 section of the General Staff.
- <u>b.</u> The CR. Radio Intelligence Company elso intercepts our can radio traffic, for purposes of furnishing information to the Communications Security Section of the CRC Signal Intelligence Jervice. This will be discussed in detail under Par. 7 below.
- g. The functions performed by the Eaclo Intelligence Company, GRC Signal Service, as given under a and b above are performed by a similarly organized Radio Intelligence Company, Army Signal Service; the data obtained are furnished to the Signal Intelligence Service, Headquarters Army Signal Service. This must be mentioned for reasons which will become apparent subsequently.
- 5. Organization of the GEQ Signal Intelligence Service. A. Coming now directly to the CEQ Signal Intelligence Cervice, we find a graphic picture of its organization in T/O 508-W, shown in Appendix I. As shown in the table, this service consists of the following sections:
 - (1) Administrative
 - (2) Impay documents
 - (7) Gaminastric identification
 - (4) Communications compaty
 - (5) Steret inka
 - (6) Codo and climar compilation
 - (7) Code and clahar solution

6. Functions of administrative section. - a. The administrative section comprises the following subsections, the duties of which will be described precently:

Readquarters subsection

Correspondence subsection

Communications subsection

Guard subsection

b. The headquerters subsection handles all nutters relating to the general policies of the service, the obtaining and administration of personnel, quarters, office equipment and supplies for the service. The officer-inchargo of the GHI Cignal Intelligence Service maintains his office in this subsection.

c. The correspondence subsection comprises the necessary stemographic and typing personnel for conducting the large volume of correspondence of the shots CH. Signal Intelligence Service. It is deemed best to have a fairly large stunographic and typing pool so that the sore may be centralized.

d. The reproduction and tabulating machinery subsection makes copies of texts, tables, etc., re wired for the various sections. This will include mineographing, multigraphing, and other methods of reproducing copies. In addition, there will be needed certain machines usually employed for accompliant purposes, but easily adaptable to experorablic and expensively rock. The use of such muchines very greatly reduces the examt of time and labor involved in code compilation and in making statistical studies in cryptandytic work.

- e. The files subsection is a central agency for maintaining the files and records of the entire GRC Signal Intelligence Service.
- f. The communications subsection may have direct telegraph wires to Army Signal Intelligence Service headquarters, to outlying intercept stations, and to other places (for example, Knvy Signal Intelligence Service headquarters), for the purpose of avoiding all delays in the transmission and receipt of mesoages relating strictly to the technical work of this service, especially that of the solution section, where time is of the utmost importance.
- g. The guard subsection has supervision of the special sentries assigned to patrol the quarters occupied by the Signal Intelligence Service at all hours of the day and night. It is felt that these special guards are necessary in order to prevent the surreptitious operation of energy sgents in the vicinity of the quarters where most of the vitally secret work is carried on.
- h. The lisison subsection maintains the necessary contacts with the Signal Intelligence Services of Field Armies, with other arms, with branches of the General Staff, with the Newy Signal Intelligence Service in case of joint action, and with the Signal Intelligence Services of Allied Governments, if any. In other words, the section serves as a central agency for coordination of work with other Signal Intelligence organizations, or with other agencies concerned in the results obtained.
- i. The library and current information subsection maintains a small but fairly comprehensive library of books having a bearing on signal intelligence activities and of books likely to be necessary as sources of information for particular use of the solution section. Files of cartain newspapers may be necessary if they are not readily accessible at GPQ. Reference books of special types are also required for cryptanalytic work that may not be available at the library of GHQ.
- 7. Functions of enemy documents section. z. This section is the depositery for documents relating to the signal service of the enemy in all its phases, but primarily as regards his signal intelligence organization, its agencies, operations, systems, and devices.

- b. A small unit of translators is essential if the language of the energy is different from our own. These persons must have some technical knowledge in signal intelligence in order to translate properly such documents in form suitable for our ready use.
- code and cipher solution section and for this reacon also they must have a cortain amount of training in cryptanalysis.
- d. The importance of rapid forwarding of exptured documents such as codes, cipher keys, files of cryptographed messages with their translations, to the Signal Intelligence Service is apparent. For this reason a special subsection is deemed advicable, the duties of which are to see that no time will be lost in bringing back captured documents and placing them in proper form for study by various interested personnel of the Signal Intelligence Service.
- 8. Functions of geniometric identification section. a. The work of this section is primarily of interest to the Battle Order Section of G-2, and to the code and cipher solution section of the Signal Intelligence Service. It expicts in combling the latter to sort intercepted messages properly according to the energy units from which they emenate and for which they are intended, since tactical messages rarely carry addresses and signatures in plain text, and externally carry few indications from which it may be determined whether two messages are in the same code, in the same cry, tographic system, or in the same key.
- b. This section works in close lisison with the Radio Intelligence Company assigned to CFQ. The latter intercepts the messages and records on them the location of the transmitting stations, as found by intersection from the radio-compass bearings taken on the emitted waves. The geniometric identification section records the locations and call signs of these stations on a sustable map, and from a study of intercommunicating stations, established the probable enemy radio note. These news are then analyzed with the point of vier of identifying the units which the transmitting and receiving radio metables acree and this in turn, by noting the groupings which intercommunicating stations form, furnish valuable information espectating energy order of battle.

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- c. Having identified the units in this same, it is then possible to indicate on the intercepted messages the unit from which and to which they are coming and going, their location, the larger units to which they belong, etc. Thus, the messages can be corted so as to isolate messages in the same cryptographic system, key, or in the same code. This is, of course, of primary importance to, and constitutes an essential preliminary step in solving the messages.
- d. From the point of vice of furnishing information concorning energy order of battle, the work of this section is also of great value, since this information may be obtained at comparatively little expense, without entailing the loss of lives, and, moreover, in contrast to similar information obtainable from prisoners or spice, is not subject to psychological, or purposive distortion of the facts.
- 9. Functions of communications security section. 1. The work of this section is exclusively that of furnishing data for the supervision of our own signal communications from the point of view of their protection and the scintenance of security and secrecy in signal communication.

L. Its datles include the following:

- (1) Study of our con messages to insure that the regulations governing cryptographic security are being observed. This involves analyzing radio messages transmitted by our own farces. The messages for this purpose are obtained by the Radio Intelligence Company as igned to CKI and are forwarded to the Communications Security Section of the Signal Intelligence Service. The latter, of course, has the codes or eighers and decryptographs the messages, devoting special attention to violations of the regulations essential to cryptographic security.
- (f) Exitchboard feellities are provided so that personnel of this section may out in on important telephone lines and listen in on conversations for the purpose of noting indiscretions suich might impeir secrecy. Furticular strendless is Covated to listening for the continuous of unit designations, plans of operation, troop movements and the like. It must be examined that the energy will detempt to interest

and record such conversations by placing agents at strategic points suitable for this purpose. Direct tapping of the telephone wires is, of course, not necessary because by suitable apparatus the electrical currents may be detected by induction, emplified, and led away to a place where the conversations may be recorded with case.

- erable ability, so as to be able to record the conversations as rapidly as they are spoken, otherwise the evidence obtained might not be considered valid. All the listening-in personnel must be carefully selected for their discretion and integrity.
- d. When serious violations are observed, one of two procedures may be followed. Under the first procedure a latter may be drafted, calling attention to the irregularities, and forwarded through the Adjutant General to the commanding officer of the organization concerned. If the violations continue and are of a serious nature, an inquiry may be held by the Inspector General's Department. Under the other procedure, it has been contemplated that an officer to be known as the Communications Security Officer would be designated in each large unit, whose duties would include the supervision of communications from the point of view of security. If this is the case, the limited between the GHQ Communications Security Section and the unit accurity officer would be more direct. This would expedite the correction of irregularities leading to insecurity in communication by radio or other means.
- 10. Functions of secret inks section. a. This section maintains and operates a laboratory for the preparation and detection of invisible writing fluids, and for the detection of other means of transmitting information to elude consorship, as for example, microscopic writing.
- b. The subsection for preparation of secret inks functions only intermittently, when the G-2 section of GR, desires to send out secret agents into enemy territory and must provide these agents with meens for sending back information in a form that will escape detection by enemy censorship.
- e. The subsection for detection functions continuously and is furnished its material by the consorral; bursau. Documents suspected of containing invisible writing are passed through the various chemical tests, and if secret

writing is discovered the results of the examination are forwarded to 6-2 for action.

- d. This section works in closest liaison with the consorship agency, and also with the larger laboratory at the Per Department, where better facilities and more personnel are available for research.
- 11. Functions of code and cipher compilation section. a. This section comprises the following subsections, the duties of which will be briefly discussed in turns
 - (1) Hosaquarters subsection
 - (2) Gode compilation subsection
 - (3) Cipter coupilation subsection
 - (4) Publication subsection
 - (5) Storage subsection
 - (6) Distribution subsection
 - (7) Accounting subsection

b. The headquarters subsection has charge of the administrative details relative to assignment of work to personnel, the use of the equipment, and the issue of supplies to the individual members of the section. All correspondence pertaining to the production, distribution, and accounting of codes and ciphers is initiated in the subsections and then passed through this office before going to the Administrative Section of the Signal Intelligence Service for signature and transmittal.

- c. The code compilation subsection compiles new editions of authorized codes, as are required by field forces, principally for the Division Field Code, Air-Ground Maison Code, Radio Service Code, and Exp Coordinate Code. Special codes adapted for special usage or entirely new codes the need for which is determined by the Commanding Cameral, GHQ may be compiled.
- d. The cipher compilation subsection prepares cipher tables, cipher keys, or cipher alphabets as may be required for use in connection with the various authorized codes, cipher systems and devices. It also has as one of its responsibilities the technical supervision and coordination of such automatic cryptographic machinery as may be employed for scenet intercommunication supervision the highest headquarters of field forces.

- e. The publication subsection has charge of the details pertaining to the printing and physical reproduction of copies of codes, ciphers, acipher tables, and cipher keys. If practicable, it should have facilities for printing or lithographic reproduction entirely under its can control, in order that proper safeguards may be established over this phase of secret communication facilities. However, if this is not practicable the printing and reproduction facilities of the Adjutant General, GHz, or of the Engineer Reproduction Plant, GKZ, will have to be employed. The subsection is also responsible for all proofreading of galley and page proofs.
- f. The storage subsection is the receiving office for printed cryptographic publications and is responsible for their sufeguarding while in storage. It is necessary to provide it with suitable storage facilities, safes being preferable, and also with armed sentries to patrol the quarters at all hours during the day and night.
- g. The code and cipher compilation section will make the most use of the automatic machinery referred to under par. 6 d. Without such machinery the section would either have to have much more personnel or clse codes would have to be replaced less frequently.
- 12. Tunctions of code and cipher solution section. A. This section comprises the following subsections:
 - (1) Headquarters subsection
 - (2) Distribution and records subsection
 - (3) Codes subsection
 - (4) Giphers subsection
 - ()) lesearch and training subsection
- b. The headquartors subsection has theres of the administrative details relative to the assignment of work to the personnel of the section, the use of the equipment, and the issue of supplies to the individual markers of the section. All correspondence pertaining to the work of the section, material furnished it for solution, the results accomplished, and linison with other branches and agencies pass through this office before point to the Administrative Section for signature and transmittal. It also propers easily, seemly, or monthly reports on cryptanalytic activities, which reports are intensed for the G-2 section of the Constant and distribution to all constants.

- copies of messages, documents, etc., as received from the reproduction subsection of the Administrative Section direct to the personnel working upon the particular code or cipher concerned. Its personnel also are exployed in indexing, tabulating, making frequency studies, etc., for the cryptanslytic staff.
- d. The codes subsection studies and solves enemy code systems, attempts to reconstruct the codes as completely as possible, and decodes enemy measures so far as the reconstruction of the codes up to that moment will permit.
- e. The ciphers subsection does the same type of work except on cipher systems.
 - f. The research and training subsection has the following duties:
 - (1) To investigate such new code and cipher systems, apparatus, and devices as are submitted to the Signal Officer, GNC, for consideration for uso by field forces.
 - (2) To conduct a school for the training of enlisted and officer personnel assigned to duty in the Signal Intelligence Service of GP, or Army. Such training will be essential for personnel obtained from sources other than the Chief Signal Officer because no other agency exists in the military service for training in signal intelligence activities.
- 13. Relations with other branches of Signal Intelligence Service. E.
 The GHA Signal Intelligence Service and wintain close limits with the
 following other branches of the Signal Intelligence Service of the military
 establishments
 - (1) Army Fignal Intelligence Service. The signal intelligence service at the beadquerters of each field cray serves as a sort of forward echelon of the CSG Signal Intelligence Service. Its personnel are trained only so for as will enable them to decipher and decode enemy messages for which the keys have been worked out by CSG Circul Intelligence Service. The purpose here is to permit of speed in utilizing the results that say be obtained from solutions of enemy messages intercepted within the radius of action of the field army.

At the same time, the Army Signal Intelligence serves as a source of material for work by GNC Signal Intelligence Service, since the messages which are intercepted by the kello Intelligence Company assigned to Army and which cannot be solved by Army Signal Intelligence Service are forwarded for solution to GNC Signal Intelligence Service should have had adaquate training and experience in the GHC Signal Intelligence Service. His aspistants do not require such thorough training, but obviously the zore they have the better will be their work.

- (2) For Department Signal Intelligence Service. The largest unit of the Signal Intelligence Service and the one best equipped to work with the wore complicated enemy coles and ciphers should be located at the For Department in Eastington. Here the non-military codes and ciphers of the enemy government are studied, as well as the codes and ciphers of enemy commercial houses, agents, etc. It may be that the CAL Signal Intelligence Service is in a botter position to intercept such natural than is the For Department Signal Intelligence Service, in which case the former should spend no time trying to solve this non-military traffic but should marrely forward it to Mashington. On the other ham, the enemy's field codes and ciphers may be so complicated as to be beyond the ability of personnel at GAC Signal Intelligence Service, in which case the far Department Signal Intelligence Service may be called upon for cooperation and assistance.
- (3) Corps Area and Department Signal Intelligence Services. If branches of the Signal Intelligence Service are established at the headquarters of corps areas and departments, limison may be necessary between them and GHQ Signal Intelligence Pervice, for purposes of coordination, cooperation, and evolution of duplication of effort.

- b. It must also act in close liaison with the following:
 - (1) Gensorship representative, GRG. The censorship bureau will undoubtedly have offices in the Theater of Operations. Matters requiring cooperation between the Signal Intelligence Service and bensorship authorities in this region will require close lisison.
 - (2) Eary Signal Intelligence Service. The Theater of Operations may be located in such an area that direct limison with Eavy Signal Intelligence Service Affect or Asbore is more conducive to good cooperation with GHC Signal Intelligence Service than indirect limison through the War Department Signal Intelligence Service, such direct centact should be established.
 - (3) Signal Intelligence Sorvices of allied governments. During the Forld her, the limited that existed between the Radio Intelligence Section, G-2, GRC, AFF, and the same service of French GRC and British GPC was most conducive to cooperation and elimination of duplication of effort. In case our government is engaged in a war conducted with Allies against a common enemy, such ligiton may again be essential.
- c. It will be seen from the foregoing that the activities of the Linison Subsection of the Administrative Section, GEQ Signal Intelligence Service (para (7) above) are quite important and necessary for achieving the best results possible from coordinated efforts to solve all kinds of energy communications.
- 14. Duties of the officer-in-charge of the EEQ Signal Intelligence Service. —

 8. It is the responsibility of the officer-in-charge of the GEQ Signal Service to administer the service under his charge in such a way that the functions of each section of his office, as sutlined above, are officiently conducted and that the service as a whole fulfills the mission assigned to it. He cannot be expected to be and, in fact, he may not be an expert cryptographer or an accomplished cryptanalyst, but he should know enough about these subjects to recognize the limitations that abound in practical year in these fields. He must realize first of all that the personnel assigned to him or selected by him are assumed to possess twice technical qualifications for the work and that if euchess does not crash their efforts or if it same to him to convenity too

slowly, this is inherent in the work itself: "supermind performances" are not the forte of cryptanalytic personnel, popular concepts to the contrary notwithstanding. It cannot be too strongly emphasized that cryptanalytic studies require a great deal of patience on the part of its working personnel; on the part of its directing and administrative personnel a similar degree of patience must be forthcoming. It is only rarely that spectacular situations and successes arise in the course of the work.

b. The last statement leads quite directly to a point which is touched upon with a certain amount of hesitancy but which nevertheless must be mentioned. As said before, signal intelligence is a specialty and its successes are rerely of a spectacular nature. They are, in this respect, quite different from the notable achievements which are much more frequently brought to light on the battlefield by brilliant tactics, revolute action, courage and fortitude. To mose who have the good fortune to succeed on the battlefield, recognition and advancement come quickly, and this is of saterial importance toward the establishment and maintenance of a high stage of morale. But the successes of signal intelligence personnel, even when they do come (and they come only infreemently, very clowly, and most often as the result of long, hard labor), must usually be kept secret or, at the least, confidential. Consequently, these successes nover can most with popular scalain and never can be swarded of on recognition until long afterward. If, under these circumstances, promotion and advancement come more slowly than they do in other fields of action, the result is apt to be detrimental to the worste of the plodders in the signal intelligence field. It therefore is incumbent upon the officer-in-charge of the signal intelligence service to see that his personnel is accorded recognition for officient, conscientious work in the same degree and with the same benefits as is accorded deserving personnel in the combat cone.

c. Finally, it is extremely important that the officer-in-charge realize that a vital factor in attaining success in signal intelligence work is the fostering of a competitive spirit energial personnel concurred but as the same time repressing to the utmost my spirit of professional jealousy, and my

of personal advancement of the offendor. The officer-in-charge of each branch of the Signal Intelligence Service, wherever located, must be constantly on guard to prevent such destructive forces from gaining a foothold among his subordinates for the good and sufficient reason, aside from the one of fair play, that sherens the spirit of competition on a parely scientific basis is conducive to the production of results, will spur on his subordinates to do their very best, and will bring about a good state of morals, the corroding spirit of professional jealousy based merely upon avidity for personal distinction and advancement will not only disrupt a good organization but will provent the establishment and maintenance of real experation. It may be stated that in signal intelligence work, especially in that of cryptenalysis, cooperation and coordinated effort are absolutely essential. The efforts of even a good many individuals, if each works alone, will avail very little; only good teamerk will produce results and will bring success in the assigned mission.

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